## PRIME POWERS UNITS AND FINITE SUBGROUPS OF $GL_n(\mathbf{Q})$

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## 1. INTRODUCTION

For an integer  $m \ge 2$ , write  $(\mathbf{Z}/(m))^{\times}$  for the units modulo m: these are the numbers mod m with multiplicative inverses. We have  $a \mod m \in (\mathbf{Z}/(m))^{\times}$  if and only if gcd(a, m) = 1. When m is a prime power  $p^k$  with  $k \ge 1$ , the units modulo  $p^k$  are all residues mod  $p^k$  besides the multiples of p, since being relatively prime to  $p^k$  is the same as not being divisible by p. Therefore

$$|(\mathbf{Z}/(p^k))^{\times}| = |\{0, 1, 2, \dots, p^k - 1\} - \{0, p, 2p, 3p, \dots, (p^k - 1)p\}| = p^k - p^{k-1} = p^{k-1}(p-1).$$

A fundamental result in number theory, going back to Gauss, is that the group  $(\mathbf{Z}/(p))^{\times}$  is cyclic for every prime p: there is an element of  $(\mathbf{Z}/(p))^{\times}$  with order p-1. When p is an odd prime, there is a similar result for powers of p.

**Theorem 1.1.** For an odd prime p and integer  $k \ge 2$ , the group  $(\mathbf{Z}/(p^k))^{\times}$  is cyclic.

This is false for  $2^k$  when  $k \ge 3$ , e.g.  $(\mathbb{Z}/(8))^{\times} = \{1, 3, 5, 7 \mod 8\}$  has order 4 and each unit modulo 8 squares to 1, so no unit modulo 8 has order 4.

A proof that all groups  $(\mathbf{Z}/(p))^{\times}$  are cyclic is in Appendix A. Building on that, we will show how to prove Theorem 1.1 using *p*-adic numbers. Then, using *p*-adic numbers in another way, we will apply Theorem 1.1 to compute a bound on the order of finite subgroups of  $\operatorname{GL}_n(\mathbf{Q})$  in terms of *n* (Theorem 3.1).

# 2. The groups $(\mathbf{Z}/(p^k))^{\times}$ are cyclic

We will prove Theorem 1.1 by using a Teichmüller representative to lift a generator of  $(\mathbf{Z}/(p))^{\times}$  multiplicatively into the *p*-adics.

*Proof.* By Theorem A.6,  $(\mathbf{Z}/(p))^{\times}$  is cyclic. Let a generator of it be  $g \mod p$  and let  $\omega(g) \in \mathbf{Z}_p^{\times}$  be the Teichmuller representative for g, so  $\omega(g)^{p-1} = 1$  and  $\overline{\omega(g) \equiv g \mod p}$ .

Integers modulo  $p^k$  and p-adic integers modulo  $p^k$  amount to the same thing. In the language of algebra,  $\mathbf{Z}/(p^k)$  and  $\mathbf{Z}_p/(p^k)$  are isomorphic rings in a natural way.

We are going to show the product  $(1+p)\omega(g)$  is a generator of  $(\mathbf{Z}/(p^k))^{\times}$  for all k. That is, if a is an integer such that  $a \equiv (1+p)\omega(g) \mod p^k$  then  $a \mod p^k$  generates  $(\mathbf{Z}/(p^k))^{\times}$ .

Since  $(\mathbf{Z}/(p^k))^{\times}$  has size  $p^{k-1}(p-1)$ , it suffices to prove  $((1+p)\omega(g))^m \equiv 1 \mod p^k$  only if m is divisible by  $p^{k-1}(p-1)$ .

Congruences mod  $p^k$  remain valid as congruences mod p, so

$$((1+p)\omega(g))^m \equiv 1 \mod p^k \Longrightarrow ((1+p)\omega(g))^m \equiv 1 \mod p \Longrightarrow g^m \equiv 1 \mod p,$$

so |(p-1)| m| since  $g \mod p$  is a generator of  $(\mathbf{Z}/(p))^{\times}$ . Thus

$$((1+p)\omega(g))^m = (1+p)^m \omega(g)^m = (1+p)^m$$

 $\mathbf{SO}$ 

$$((1+p)\omega(g))^m \equiv 1 \mod p^k \Longrightarrow (1+p)^m \equiv 1 \mod p^k \Longrightarrow |(1+p)^m - 1|_p \le \frac{1}{p^k}.$$

For  $m \in \mathbb{Z}^+$  and  $b \in 1 + p\mathbb{Z}_p$ , we have  $|b^m - 1|_p = |m|_p |b - 1|_p$  when  $p \neq 2$ : see Appendix B. Taking b = 1 + p,

$$|(1+p)^m - 1|_p = |m|_p|(1+p) - 1|_p = \frac{|m|_p}{p}$$

Therefore  $|(1+p)^m - 1|_p \le 1/p^k \Longrightarrow |m|_p/p \le 1/p^k \Longrightarrow |m|_p \le 1/p^{k-1} \Longrightarrow p^{k-1} |m|_p$ . From  $(p-1) \mid m$  and  $p^{k-1} \mid m$  we get  $p^{k-1}(p-1) \mid m$  since p-1 and  $p^{k-1}$  are relatively

From  $(p-1) \mid m$  and  $p^{\kappa-1} \mid m$  we get  $p^{\kappa-1}(p-1) \mid m$  since p-1 and  $p^{\kappa-1}$  are relatively prime. That completes the proof.

**Corollary 2.1.** If p is an odd prime and a mod  $p^2$  is a generator of  $(\mathbf{Z}/(p^2))^{\times}$  then a mod  $p^k$  is a generator of  $(\mathbf{Z}/(p^k))^{\times}$  for all  $k \geq 2$ .

*Proof.* In  $\mathbf{Z}_p^{\times}$  set  $a = \omega(a)u$ , where  $\omega(a)$  is the Teichmuller representative of a, so  $u \in 1 + p\mathbf{Z}_p$  (since  $a \equiv \omega(a) \mod p$ ).

Claim:  $\omega(a)$  has order p-1 and  $|u-1|_p = 1/p$  (*i.e.*,  $u \in 1+p\mathbf{Z}_p$  and  $u \notin 1+p^2\mathbf{Z}_p$ ).

Proof of claim: Let  $d \ge 1$  be the order of  $a \mod p$ , so  $d \mid (p-1)$ . We want to prove d = p-1. From  $a^d \equiv 1 \mod p$ , raising both sides to the *p*th power gives us  $a^{dp} \equiv 1 \mod p^2$  with the modulus "improved" to  $p^{2,1}$  Therefore  $p(p-1) \mid dp$ , so  $(p-1) \mid d$ . We noted earlier that  $d \mid (p-1)$  too, so d = p-1. The order of  $a \mod p$  and  $\omega(a)$  are the same, so  $\omega(a)$  has order p-1.

Since  $|u-1|_p \leq 1/p$ , if  $|u-1|_p \neq 1/p$  then  $|u-1|_p \leq 1/p^2$ , so  $u \equiv 1 \mod p^2$ . Then  $a = \omega(a)u \equiv \omega(a) \mod p^2$ , so  $a^{p-1} \equiv \omega(a)^{p-1} \equiv 1 \mod p^2$ , which contradicts  $a \mod p^2$  being a generator of  $(\mathbf{Z}/(p^2))^{\times}$ . Thus  $|u-1|_p = 1/p$ . This finishes the proof of the claim.

When we proved in Theorem 1.1 that  $(1+p)\omega(g) \mod p^k$  has order  $(p-1)p^{k-1}$ , the properties we used about g and 1+p were that  $g \mod p$  has order p-1 and  $|(1+p)-1|_p = 1/p$ . Since  $\omega(a)$  has order p-1 and  $|u-1|_p = 1/p$ , the arguments used for  $(1+p)\omega(g)$  can be applied word for word to  $u\omega(a) = a$ , so  $a \mod p^k$  generates  $(\mathbf{Z}/(p^k))^{\times}$  for all  $k \geq 2$ .  $\Box$ 

**Remark 2.2.** Here is a more conceptual description of what is going on in terms of *p*-adic quotient groups. We can view  $(\mathbf{Z}_p/(p^k))^{\times}$  as an isomorphic group built from *p*-adic units:

$$(\mathbf{Z}/(p^k))^{\times} \cong (\mathbf{Z}_p/(p^k))^{\times} \cong \mathbf{Z}_p^{\times}/(1+p^k\mathbf{Z}_p).$$

The second isomorphism arises because elements of  $(\mathbf{Z}_p/(p^k))^{\times}$  are represented by *p*-adic units, and when *u* and *v* are *p*-adic units we have

$$u = v$$
 in  $\mathbf{Z}_p/(p^k) \iff u \in v + p^k \mathbf{Z}_p \iff \frac{u}{v} \in 1 + p^k \mathbf{Z}_p \iff u = v$  in  $\mathbf{Z}_p^{\times}/(1 + p^k \mathbf{Z}_p)$ .

What makes  $\mathbf{Z}_p^{\times}/(1+p^k\mathbf{Z}_p)$  a nice model for the multiplicative group  $(\mathbf{Z}/(p^k))^{\times}$  is that it is an actual quotient of multiplicative groups. This can't be done working in the integers alone, where the only units are  $\pm 1$ .

Writing  $a = \omega(a)u$  provides a direct product decomposition  $\mathbf{Z}_p^{\times} \cong \mu_{p-1} \times (1+p\mathbf{Z}_p)$ , where  $\mu_{p-1}$  is the (cyclic) group of (p-1)th roots of unity in the *p*-adic integers. Thus

$$\mathbf{Z}_{p}^{\times}/(1+p^{k}\mathbf{Z}_{p}) \cong (\mu_{p-1} \times (1+p\mathbf{Z}_{p}))/(1+p^{k}\mathbf{Z}_{p}) \cong \mu_{p-1} \times (1+p\mathbf{Z}_{p})/(1+p^{k}\mathbf{Z}_{p}).$$

<sup>&</sup>lt;sup>1</sup>In general for x and y in  $\mathbb{Z}_p$ , if  $x \equiv y \mod p$  then  $x^p \equiv y^p \mod p^2$ . More generally, if  $x \equiv y \mod p^k$  then  $x^p \equiv y^p \mod p^{k+1}$ .

We can figure out what the multiplicative quotient group  $(1 + p\mathbf{Z}_p)/(1 + p^k\mathbf{Z}_p)$  looks like concretely by using the *p*-adic logarithm to turn it into an additive quotient group. Since  $p \neq 2$ , the function log:  $1 + p\mathbf{Z}_p \to p\mathbf{Z}_p$  is an isomorphism, and since the *p*-adic logarithm is an isometry we get  $\log(1 + p^k\mathbf{Z}_p) = p^k\mathbf{Z}_p$ . Thus

$$(1+p\mathbf{Z}_p)/(1+p^k\mathbf{Z}_p) \stackrel{\log}{\cong} p\mathbf{Z}_p/(p^k) \cong \mathbf{Z}_p/(p^{k-1}) \cong \mathbf{Z}/(p^{k-1}) = \text{cyclic group of order } p^{k-1}.$$

Therefore

$$(\mathbf{Z}/(p^k))^{\times} \cong \mathbf{Z}_p^{\times}/(1+p^k\mathbf{Z}_p) \cong \mu_{p-1} \times (1+p\mathbf{Z}_p)/(1+p^k\mathbf{Z}_p) \cong \mathbf{Z}/(p-1) \times \mathbf{Z}/(p^{k-1}).$$

This is a direct product of cyclic groups of orders p-1 and  $p^{k-1}$ , which are relatively prime, so the direct product is also cyclic.

The structure of the group  $(\mathbf{Z}/(2^k))^{\times}$  can be studied similarly to the case of odd p, but for  $k \geq 3$  these groups will turn out not to be cyclic. They are almost cyclic: there is a cyclic subgroup of order equal to half the size of the group.

**Theorem 2.3.** For  $k \ge 3$ ,  $(\mathbf{Z}/(2^k))^{\times} = \langle -1, 5 \mod 2^k \rangle = \{\pm 5^j \mod 2^k : j \ge 0\}.$ 

*Proof.* The group  $(\mathbf{Z}/(2^k))^{\times}$  has order  $2^{k-1}(2-1) = 2^{k-1}$ . We will show 5 mod  $2^k$  has order  $2^{k-2}$ . For  $m \in \mathbf{Z}^+$  and  $b \in 1 + 4\mathbf{Z}_2$  we have  $|b^m - 1|_2 = |m|_2|b-1|_2$ : see Appendix B. Therefore

$$5^{m} \equiv 1 \mod 2^{k} \iff |5^{m} - 1|_{2} \le \frac{1}{2^{k}} \iff |m|_{2}|5 - 1|_{2} \le \frac{1}{2^{k}} \iff |m|_{2} \le \frac{1}{2^{k-2}} \iff 2^{k-2} \mid m,$$

so 5 mod  $2^k$  has order  $2^{k-2}$ . No power of 5 mod  $2^k$  is ever  $-1 \mod 2^k$  since  $5 \equiv 1 \mod 4$ while  $-1 \equiv 3 \mod 4$ . Therefore  $-1 \mod 2^k \notin \langle 5 \mod 2^k \rangle$ , and since  $-1 \mod 2^k$  has order 2 the subgroup  $\{\pm 5^j \mod 2^k : j \ge 0\}$  of  $(\mathbf{Z}/(2^k))^{\times}$  has order  $2 \cdot 2^{k-2} = 2^{k-1} = |(\mathbf{Z}/(2^k))^{\times}|$ , which makes this subgroup equal to the whole group.

**Remark 2.4.** We can explain the group structure of  $(\mathbf{Z}/(2^k))^{\times}$  by writing it as a quotient group of  $\mathbf{Z}_2^{\times}$ . Since  $\mathbf{Z}_2^{\times} = \{\pm 1\} \times (1 + 4\mathbf{Z}_2)$ , for  $k \geq 2$  we have

$$(\mathbf{Z}/(2^k))^{\times} \cong (\mathbf{Z}_2/2^k)^{\times}$$
$$\cong \mathbf{Z}_2^{\times}/(1+2^k\mathbf{Z}_2)$$
$$\cong (\{\pm 1\} \times (1+4\mathbf{Z}_2))/(1+2^k\mathbf{Z}_2)$$
$$\cong \{\pm 1\} \times (1+4\mathbf{Z}_2)/(1+2^k\mathbf{Z}_2).$$

Using the 2-adic logarithm isomorphism  $1 + 4\mathbf{Z}_2 \cong 4\mathbf{Z}_2$ , which is also an isometry, we get

$$(1+4\mathbf{Z}_2)/(1+2^k\mathbf{Z}_2) \stackrel{\text{rog}}{\cong} 4\mathbf{Z}_2/2^k\mathbf{Z}_2 \cong \mathbf{Z}_2/2^{k-2} \cong \mathbf{Z}/(2^{k-2}),$$
  
so  $(\mathbf{Z}/(2^k))^{\times} \cong \{\pm 1\} \times \mathbf{Z}/(2^{k-2}).$ 

3. Bounding finite subgroups of  $\operatorname{GL}_n(\mathbf{Q})$ 

How large can a finite group of matrices be? If we allow matrix entries from the complex numbers, or even the real numbers, then there is no upper bound in general. For example, if d if a positive integer then a counterclockwise rotation by  $2\pi/d$  radians in the plane  $\mathbf{R}^2$  is represented by the matrix

$$\begin{pmatrix} \cos(2\pi/d) & -\sin(2\pi/d) \\ \sin(2\pi/d) & \cos(2\pi/d) \end{pmatrix}$$

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in  $\operatorname{GL}_2(\mathbf{R})$  that has order d, so  $\operatorname{GL}_2(\mathbf{R})$  contains finite subgroups of arbitrarily large order.

If we restrict the numbers in the matrices to be rational, however, then there *is* an upper bound on how large a finite matrix group can be in terms of the size of the matrices. This result is due to Minkowski [4]. Our argument is adapted from [2, Chap. 4, Sect. 2].

**Theorem 3.1** (Minkowski, 1887). For each  $n \ge 1$  every finite subgroup of  $GL_n(\mathbf{Q})$  has order dividing a number M(n) that depends only on n.

For example, it turns out that M(2) = 24, so every finite subgroup of  $GL_2(\mathbf{Q})$  has order dividing  $24 = 2^3 \cdot 3$ . We are not claiming that there actually is a subgroup of  $GL_2(\mathbf{Q})$  with order 24. In fact the largest size is 12, but there are subgroups of order not dividing 12 and those orders all divide 24 (see below for a subgroup of order 8).

**Example 3.2.** The matrix  $\begin{pmatrix} 0 & -1 \\ 1 & 1 \end{pmatrix}$  has order 6.

**Example 3.3.** Let  $r = \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}$  and  $s = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$ . Then *r* has order 4, *s* has order 2, and  $sr = r^{-1}s$ , so the group  $\langle r, s \rangle$  generated by *r* and *s* in  $\operatorname{GL}_2(\mathbf{Q})$  has order 8.

The proof of Theorem 3.1 will use the finite groups  $\operatorname{GL}_n(\mathbf{Z}/(p))$ . Just as the symmetric group  $S_n$  has order n! that is a product of n integers, the order of  $\operatorname{GL}_n(\mathbf{Z}/(p))$  has an explicit formula that is a product of n terms.

**Lemma 3.4.** For each prime p,  $|\operatorname{GL}_n(\mathbf{Z}/(p))| = (p^n - 1)(p^n - p) \cdots (p^n - p^{n-1}).$ 

*Proof.* See Appendix C. The proof is based on linear algebra over the field  $\mathbf{Z}/(p)$ .

Now we prove Theorem 3.1.

*Proof.* Let G be a finite subgroup of  $\operatorname{GL}_n(\mathbf{Q})$ . Since G contains only finitely many matrices, and each rational number is in  $\mathbf{Z}_p$  for all large primes p, the matrices in G have entries in  $\mathbf{Z}_p$  for all large p, so there is a prime  $p_0$  such that  $G \subset \operatorname{M}_n(\mathbf{Z}_p)$  for all  $p > p_0$ . We write  $\operatorname{GL}_n(\mathbf{Z}_p)$  for the group of  $n \times n$  matrices with  $\mathbf{Z}_p$ -entries that have inverses also with  $\mathbf{Z}_p$ entries; the condition for a matrix  $A \in \operatorname{M}_n(\mathbf{Z}_p)$  to belong to  $\operatorname{GL}_n(\mathbf{Z}_p)$  is that det  $A \in \mathbf{Z}_p^{\times}$ . If  $A \in \operatorname{GL}_n(\mathbf{Q})$  has finite order then det  $A \in \mathbf{Q}^{\times}$  has finite order, so det  $A = \pm 1$ . Therefore by Cramer's rule for inverting matrices,  $G \subset \operatorname{GL}_n(\mathbf{Z}_p)$  for all  $p > p_0$ .

**Claim**: For every prime  $p > p_0$ , the order of G divides  $|\operatorname{GL}_n(\mathbf{Z}/(p))|$ .

Proof of claim: We can view G inside  $\operatorname{GL}_n(\mathbf{Z}_p)$ . Reducing matrix entries modulo p sends each matrix A in  $\operatorname{GL}_n(\mathbf{Z}_p)$  to a matrix  $\overline{A}$  in  $\operatorname{GL}_n(\mathbf{Z}_p/(p))$ , which can be regarded as  $\operatorname{GL}_n(\mathbf{Z}/(p))$  by the natural identification of  $\mathbf{Z}_p/(p)$  with  $\mathbf{Z}/(p)$ . (We have  $\overline{A} \in \operatorname{GL}_n(\mathbf{Z}_p/(p))$  since det  $A = \pm 1 \Longrightarrow \det A \not\equiv 0 \mod p \Longrightarrow \det \overline{A} \neq 0$  in  $\mathbf{Z}/(p)$ .) Reduction  $\operatorname{GL}_n(\mathbf{Z}_p) \to \operatorname{GL}_n(\mathbf{Z}_p/(p))$  is a group homomorphism.

The key point is that when  $p > p_0$ , two matrices A and B in the *finite* group G can't reduce mod p to the same matrix in  $\operatorname{GL}_n(\mathbb{Z}_p/(p))$ . Indeed, suppose  $A \equiv B \mod p$ . Then  $AB^{-1}$  belongs to G, so it has finite order, and  $AB^{-1} \equiv I_n \mod p$ . We will show  $AB^{-1} = I_n$ , so A = B, by using a norm on p-adic matrices.

For each  $n \times n$  matrix  $X = (x_{ij})$  in  $M_n(\mathbf{Q}_p)$ , define its *p*-adic matrix norm to be the maximum *p*-adic absolute value of the entries:

$$||X||_p := \max_{i,j} |x_{ij}|_p.$$

Thus  $M_n(\mathbf{Z}_p) = \{X \in M_n(\mathbf{Q}_p) : ||X||_p \le 1\}$ . Check that (i)  $||X+Y||_p \le \max(||X||_p, ||Y||_p)$ , (ii)  $||XY||_p \le ||X||_p ||Y||_p$ , and (iii)  $||aX||_p = |a|_p ||X||_p$  for a in  $\mathbf{Q}_p$  and p-adic matrices X

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and Y. Often  $||XY||_p \neq ||X||_p ||Y||_p$ , but the inequality (ii) will be sufficient for us. It implies, for instance, that  $||X^k||_p \leq ||X||_p^k$  for all  $k \geq 1$ . By (i), when  $X \neq Y$ ,  $||X \pm Y||_p = \max(||X||_p, ||Y||_p)$ .

For p > 2 and  $x \in 1 + p\mathbf{Z}_p$ ,  $|x^m - 1|_p = |m|_p |x - 1|_p$  for all  $m \ge 1$ : see Appendix B. The same equation holds for matrices: if  $X \in I_n + p\mathbf{M}_n(\mathbf{Z}_p)$  (that is,  $||X - I_n||_p \le 1/p$ ), then  $||X^m - I_n||_p = |m|_p ||X - I_n||_p$  for all  $m \ge 1$ : see Appendix B. Returning to the matrices Aand B in G such that  $A \equiv B \mod p$ , where  $p > p_0$  (so p > 2), we have for all  $m \ge 1$  that  $AB^{-1} \equiv I_n \mod p \Longrightarrow AB^{-1} \in I_n + p\mathbf{M}_n(\mathbf{Z}_p) \Longrightarrow ||(AB^{-1})^m - I_n||_p = |m|_p ||AB^{-1} - I_n||_p$ . In the last equation, let m be the (finite!) order of  $AB^{-1}$  in G. Then  $0 = |m|_p ||AB^{-1} - I_n||_p$ .

Thus  $||AB^{-1} - I_n||_p = 0$ , so  $AB^{-1} - I_n = O$ , from which we get A = B. We have shown the mod p reduction  $G \to \operatorname{GL}_n(\mathbf{Z}_p/(p))$  is injective for  $p > p_0$ , so |G|

divides  $|\operatorname{GL}_n(\mathbf{Z}_p/(p))| = |\operatorname{GL}_n(\mathbf{Z}/(p))|$ . This completes the proof of the claim. Rewrite  $|\operatorname{GL}_n(\mathbf{Z}/(p))|$  in Lemma 3.4 by factoring out the largest power of p:

$$(p^{n}-1)(p^{n}-p)\cdots(p^{n}-p^{n-1}) = (p^{n}-1)p(p^{n-1}-1)\cdots p^{n-1}(p-1)$$
$$= p^{1+\dots+n-1}(p^{n}-1)(p^{n-1}-1)\cdots(p-1)$$
$$= p^{n(n-1)/2}(p^{n}-1)(p^{n-1}-1)\cdots(p-1).$$

To bound |G|, pick a prime q. We will get an upper bound  $e_n(q)$  for  $\operatorname{ord}_q(|G|)$  and find  $e_n(q) = 0$  if q > n + 1, so |G| divides  $\prod_{q \le n+1} q^{e_n(q)}$ , where the product runs over primes less than or equal to n + 1. (Recall the examples of finite subgroups of  $\operatorname{GL}_2(\mathbf{Q})$  earlier had order divisible only 2 and 3, which are less than or equal to n + 1 = 3 in this case.)

For prime  $p > p_0$ ,  $\operatorname{ord}_q(|G|) \leq \operatorname{ord}_q(|\operatorname{GL}_n(\mathbf{Z}/(p))|)$ . If  $p \neq q$  then by (3.1)

$$\operatorname{ord}_q(|\operatorname{GL}_n(\mathbf{Z}/(p))|) \le \operatorname{ord}_q((p^n - 1)(p^{n-1} - 1)\cdots(p - 1)) = \sum_{i=1}^{n-1} \operatorname{ord}_q(p^i - 1).$$

We will choose for p a large prime different from q that makes  $\operatorname{ord}_q(p^i-1)$  easy to calculate.

If  $q \neq 2$  then  $(\mathbf{Z}/(q^k))^{\times}$  is cyclic for all  $k \geq 1$ . An integer that is a generator of  $(\mathbf{Z}/(q^2))^{\times}$  is also a generator of  $(\mathbf{Z}/(q^k))^{\times}$  for all  $k \geq 1$  by Corollary 2.1. Let  $b \mod q^2$  generate  $(\mathbf{Z}/(q^2))^{\times}$ , so  $(b,q^2) = 1$ . We will now use a famous theorem of Dirichlet about primes in arithmetic progression: if a and m are relatively prime integers then there are infinitely many primes  $p \equiv a \mod m$ .

By Dirichlet's theorem, there are infinitely many primes  $p \equiv b \mod q^2$ . Choose such a prime p with  $p > p_0$ . Necessarily  $p \neq q$  since  $(p, q^2) = (b, q^2) = 1$ . The number  $\operatorname{ord}_q(p^i - 1)$  is the largest integer k that makes  $q^k \mid (p^i - 1)$ , or equivalently that makes  $p^i \equiv 1 \mod q^k$ . Since  $p \mod q^k$  generates  $(\mathbf{Z}/(q^k))^{\times}$ ,

(3.2) 
$$q^k \mid (p^i - 1) \Longleftrightarrow p^i \equiv 1 \mod q^k \Longleftrightarrow q^{k-1}(q-1) \mid i.$$

From the equivalence of the first and third relations in (3.2) we can start counting.

- The number of  $p^i 1$  with  $1 \le i \le n$  that are divisible by q is the number of multiples of q 1 up to n, and that number is  $\lfloor n/(q-1) \rfloor$ .
- The number of  $p^i 1$  with  $1 \le i \le n$  that are divisible by  $q^2$  is the number of multiples of q(q-1) up to n, and that number is  $\lfloor n/(q(q-1)) \rfloor$ .
- The number of  $p^i 1$  with  $1 \le i \le n$  that are divisible by  $q^3$  is the number of multiples of  $q^2(q-1)$  up to n, and that number is  $\lfloor n/(q^2(q-1)) \rfloor$ .

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• For each  $k \ge 1$ , the number of  $p^i - 1$  with  $1 \le i \le n$  that are divisible by  $q^k$  is the number of multiples of  $q^{k-1}(q-1)$  up to n, and that number is  $\lfloor n/(q^{k-1}(q-1)) \rfloor$ .

Putting this all together, if q is prime and  $p \mod q^2$  generates  $(\mathbf{Z}/(q^2))^{\times}$  then the multiplicity of q in  $|\operatorname{GL}_n(\mathbf{Z}/(p))|$  is

$$(3.3) e_n(q) := \left\lfloor \frac{n}{q-1} \right\rfloor + \left\lfloor \frac{n}{q(q-1)} \right\rfloor + \left\lfloor \frac{n}{q^2(q-1)} \right\rfloor + \dots = \sum_{j\geq 0} \left\lfloor \frac{n}{q^j(q-1)} \right\rfloor$$

This formally infinite series is really finite because the *j*-th term is 0 once  $q^j(q-1) > n$ . In particular, if q > n + 1 then q - 1 > n and all terms in the sum vanish. Thus q does not divide |G| if q > n + 1, so the only possible odd prime factors of |G| are primes up to n + 1, and the highest power of q dividing |G| is at most  $q^{e_n(q)}$ .

When  $\lfloor q = 2 \rfloor$  a similar analysis can be made with Dirichlet's theorem for modulus 8 (not for modulus  $4 = 2^2$ , as the case of odd q might suggest), but it is a more involved since the groups  $(\mathbf{Z}/(2^k))^{\times}$  for  $k \geq 3$  are not cyclic but only "half-cyclic": there's a cyclic subgroup filling up half the group. Without getting into details (see [5, Sect. 1.3.4]), this implies  $\operatorname{ord}_2(|G|)$  is bounded above by the same formula as (3.3) when q = 2, that is, by

$$e_n(2) := \sum_{j \ge 0} \left\lfloor \frac{n}{2^j} \right\rfloor,$$

Putting everything together, each finite subgroup of  $GL_n(\mathbf{Q})$  divides the integer

$$M(n) = \prod_{q} q^{e_n(q)} = \prod_{q \le n+1} q^{e_n(q)}$$

where  $e_n(q)$  is given by (3.3) for all primes q.

The table below gives some sample values.

For each prime q the exponent  $e_n(q)$  in M(n) is optimal in the sense that there does exist a subgroup of  $\operatorname{GL}_n(\mathbf{Q})$  of order  $q^{e_n(q)}$  [1, pp. 392-394], [5, Sect. 1.4].

**Remark 3.5.** The largest possible order of a finite subgroup of  $GL_n(\mathbf{Q})$  is  $2^n n!$  except when n = 2, 4, 6, 7, 8, 9, and 10, and for every n (no exceptions) the subgroups of  $GL_n(\mathbf{Q})$  with maximal order are conjugate. See [3].

Appendix A. Cyclicity of 
$$(\mathbf{Z}/(p))^{\times}$$

To prove  $(\mathbf{Z}/(p))^{\times}$  is cyclic for each prime p, we can suppose p > 2. We are going to use the prime factorization of p-1. Say

$$p - 1 = q_1^{e_1} q_2^{e_2} \cdots q_m^{e_m},$$

where the  $q_i$  are distinct primes and  $e_i \ge 1$ . We will show  $(\mathbf{Z}/(p))^{\times}$  has elements of order  $q_i^{e_i}$  for each *i* and their product furnishes a generator of  $(\mathbf{Z}/(p))^{\times}$ .

As a warm-up, let's show for each prime q dividing p-1 that there is an element of order q in  $(\mathbf{Z}/(p))^{\times}$ . While this a consequence of Cauchy's theorem for all finite groups, abelian or nonabelian, we want to give a proof that uses a special feature of  $(\mathbf{Z}/(p))^{\times}$ : it is the nonzero elements of the field  $\mathbf{Z}/(p)$ .

**Lemma A.1.** If a prime q divides p-1 then  $(\mathbf{Z}/(p))^{\times}$  has an element of order q. Specifically,  $a^{(p-1)/q} \neq 1$  for some  $a \in (\mathbf{Z}/(p))^{\times}$ , and necessarily  $a^{(p-1)/q}$  has order q in  $(\mathbf{Z}/(p))^{\times}$ .

*Proof.* The polynomial  $x^{(p-1)/q} - 1$  has at most (p-1)/q roots in  $\mathbf{Z}/(p)$  since  $\mathbf{Z}/(p)$  is a field, and (p-1)/q is less than  $p-1 = |(\mathbf{Z}/(p))^{\times}|$ . Thus  $(\mathbf{Z}/(p))^{\times}$  has an element a such that  $a^{(p-1)/q} \neq 1$  in  $(\mathbf{Z}/(p))^{\times}$ .

Set  $b = a^{(p-1)/q}$  in  $(\mathbf{Z}/(p))^{\times}$ . Then  $b \neq 1$  and  $b^q = (a^{(p-1)/q})^q = a^{p-1} = 1$  in  $(\mathbf{Z}/(p))^{\times}$  by Fermat's little theorem, so the order of b in  $(\mathbf{Z}/(p))^{\times}$  divides q and is not 1. Since q is prime, the only choice for the order of b in  $(\mathbf{Z}/(p))^{\times}$  is q.

That proof is *not* saying that if  $a \in (\mathbf{Z}/(p))^{\times}$  and  $a^{(p-1)/q} \neq 1$  in  $(\mathbf{Z}/(p))^{\times}$  then a has order q in  $(\mathbf{Z}/(p))^{\times}$ , but rather that  $a^{(p-1)/q}$  has order q in  $(\mathbf{Z}/(p))^{\times}$ .

**Example A.2.** Take p = 19. By Fermat's little theorem, all a in  $(\mathbf{Z}/(19))^{\times}$  satisfy  $a^{18} = 1$ . Since 18 is divisible by 3, the lemma is telling us that whenever  $a^{18/3} \neq 1$ ,  $a^{18/3}$  has order 3. From the second row of the table below, which runs over the nonzero numbers mod 19, we find 2 different values of  $a^6 \mod 19$  other than 1: 7 and 11. They both have order 3.

$a \bmod 19$	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18
$a^6 \mod 19$	1	7	7	11	7	11	1	1	11	11	1	1	11	7	11	7	7	1

If a prime q divides p-1 more than once, then the same reasoning as in Lemma A.1 leads to elements of higher q-power order in  $(\mathbf{Z}/(p))^{\times}$ .

**Lemma A.3.** If q is a prime and  $q^e \mid (p-1)$  for a positive integer e, then there is an element of  $(\mathbf{Z}/(p))^{\times}$  with order  $q^e$ . Specifically, there is an  $a \in (\mathbf{Z}/(p))^{\times}$  such that  $a^{(p-1)/q} \neq 1$  in  $(\mathbf{Z}/(p))^{\times}$ , and necessarily  $a^{(p-1)/q^e}$  has order  $q^e$  in  $(\mathbf{Z}/(p))^{\times}$ .

*Proof.* As in the proof of Lemma A.1, there are fewer than p-1 solutions to  $a^{(p-1)/q} = 1$  in  $\mathbf{Z}/(p)$  since  $\mathbf{Z}/(p)$  is a field, so there is an a in  $(\mathbf{Z}/(p))^{\times}$  where  $a^{(p-1)/q} \neq 1$  in  $\mathbf{Z}/(p)$ .

Set  $b = a^{(p-1)/q^e}$  in  $\mathbf{Z}/(p)$ , which makes sense since  $q^e$  is a factor of p-1 (we are not using fractional exponents). Then  $b^{q^e} = (a^{(p-1)/q^e})^{q^e} = a^{p-1} = 1$  in  $(\mathbf{Z}/(p))^{\times}$  by Fermat's little theorem, so the order of b in  $(\mathbf{Z}/(p))^{\times}$  divides  $q^e$ . Since q is prime, the (positive) factors of  $q^e$  other than  $q^e$  are factors of  $q^{e-1}$ . Since  $b^{q^{e-1}} = (a^{(p-1)/q^e})^{q^{e-1}} = a^{(p-1)/q} \neq 1$ in  $(\mathbf{Z}/(p))^{\times}$ , by the choice of a, the order of b in  $(\mathbf{Z}/(p))^{\times}$  does not divide  $q^{e-1}$ . Thus the order of b in  $(\mathbf{Z}/(p))^{\times}$  must be  $q^e$ .

**Example A.4.** Returning to p = 19, the number p - 1 = 18 is divisible by the prime power 9. In the table below we list the *a* for which  $a^{(p-1)/3} = a^6 \neq 1$  and below that list the corresponding values of  $a^{18/9} = a^2$ : these are 4, 5, 6, 9, 16, and 17, and all have order 9.

$a \mod 19$	2	3	4	5	6	9	10	13	14	15	16	17
$a^6 \mod 19$	7	$\overline{7}$	11	7	11	11	11	11	$\overline{7}$	11	$\overline{7}$	7
$a^2 \mod 19$	4	9	16	6	17	5	5	17	6	16	9	4

**Remark A.5.** Lemma A.3 can be proved in another way using unique factorization of polynomials with coefficients in  $\mathbf{Z}/(p)$ . Because all nonzero numbers mod p are roots of  $T^{p-1}-1$ , this polynomial factors mod p as  $(T-1)(T-2)\cdots(T-(p-1))$ . Being a product of distinct linear factors, every factor of  $T^{p-1}-1$  is also a product of distinct linear factors, so in particular, every factor of  $T^{p-1}-1$  has as many roots in  $\mathbf{Z}/(p)$  as its degree. For a prime power  $q^e$  dividing p-1,  $T^{q^e}-1$  divides  $T^{p-1}-1$ , so there are  $q^e$  solutions of  $a^{q^e}=1$  in  $\mathbf{Z}/(p)$ . This exceeds the number of solutions of  $a^{q^{e-1}}=1$  in  $\mathbf{Z}/(p)$ , which is at most  $q^{e-1}$ 

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since a nonzero polynomial over a field has no more roots than its degree. Therefore there is an a in  $\mathbf{Z}/(p)$  fitting  $a^{q^e} = 1$  and  $a^{q^{e-1}} \neq 1$ . All such a have order  $q^e$  in  $(\mathbf{Z}/(p))^{\times}$ .

**Theorem A.6.** For each prime p, the group  $(\mathbf{Z}/(p))^{\times}$  is cyclic.

*Proof.* We may take p > 2, so p - 1 > 1. Write p - 1 as a product of primes:

$$p - 1 = q_1^{e_1} q_2^{e_2} \cdots q_m^{e_m}.$$

By Lemma A.3, for each *i* from 1 to *m* there is  $b_i \in (\mathbf{Z}/(p))^{\times}$  with order  $q_i^{e_i}$ . These orders are relatively prime, and  $(\mathbf{Z}/(p))^{\times}$  is abelian, so the product of the  $b_i$ 's in  $(\mathbf{Z}/(p))^{\times}$  has order equal to the product of the  $q_i^{e_i}$ 's, which is p-1. Thus,  $b_1b_2\cdots b_m$  generates  $(\mathbf{Z}/(p))^{\times}$ .  $\Box$ 

Appendix B. Computing  $|b^m - 1|_p$  and  $||B^m - I_n||_p$ 

The two theorems we prove here were used in the proofs of Theorems 1.1, 2.3, and 3.1.

**Theorem B.1.** Let p be prime. When p > 2 and  $b \in 1 + p\mathbf{Z}_p$ ,

$$b^m - 1|_p = |m|_p |b - 1|_p$$

for  $m \ge 1$ . When p = 2 and  $b \in 1 + 4\mathbb{Z}_2$ ,  $|b^m - 1|_2 = |m|_2|b - 1|_2$  for  $m \ge 1$ .

*Proof.* We will present the case p > 2 and leave the case p = 2 to the reader.

That  $|b^m - 1|_p = |m|_p |b - 1|_p$  for all  $m \ge 1$  follows from the cases (p, m) = 1 and m = p:

$$(p,m) = 1 \Longrightarrow |b^m - 1|_p = |b - 1|_p$$
 and  $|b^p - 1|_p = \frac{1}{p}|b - 1|_p$ 

implies  $|b^{p^k} - 1|_p = (1/p^k)|b - 1|_p$  for  $k \ge 0$  by induction, and then write a general positive integer m as  $p^k m'$  where  $k \ge 0$  and  $p \nmid m'$  to get (with  $b^{m'}$  in place of b sometimes)

$$|b^m - 1|_p = |(b^{m'})^{p^k} - 1|_p = \frac{1}{p^k}|b^{m'} - 1|_p = \frac{1}{p^k}|b - 1|_p = |m|_p|b - 1|_p.$$

<u>Case 1</u>: (p,m) = 1.

To prove  $|b^m - 1|_p = |b - 1|_p$ , we can assume  $b \neq 1$  and  $m \geq 2$  since it is obvious when b = 1 or m = 1. Set c = b - 1, so

$$b^m - 1 = (1+c)^m - 1 = mc + \sum_{k=2}^m \binom{m}{k} c^k.$$

We have  $|mc|_p = |c|_p = |b-1|_p$ . Since  $0 < |c|_p \le 1/p$ ,  $|\sum_{k=2}^m {m \choose k} c^k|_p \le \max_{2\le k\le m} |c|_p^k = |c|_p^2 < |c|_p = |b-1|_p$  (the last inequality would not be correct if c = 0). Thus

$$|b^m - 1|_p = |b - 1|_p.$$

 $\underline{\text{Case } 2}: m = p.$ 

To prove  $|b^p - 1|_p = (1/p)|b - 1|_p$ , as in Case 1 we can assume  $b \neq 1$ . Set c = b - 1, so

$$b^{p} - 1 = (1 + c)^{p} - 1 = pc + \sum_{k=2}^{p} {p \choose k} c^{p}$$

We have  $|pc|_p = (1/p)|c|_p = (1/p)|b-1|_p$ . Since  $0 < |c|_p \le 1/p$ , if  $2 \le k \le p-1$  (there are such k since p > 2), then  $p \mid {p \choose k}$ , so  $|{p \choose k}c^k|_p \le (1/p)|c|_p^k \le (1/p)|c|_p^2 < (1/p)|c|_p = (1/p)|b-1|_p$ . Also  $|{p \choose p}c^p|_p = |c|_p^p \le |c|_p^3 \le (1/p)|c|_p^2 < (1/p)|c|_p = (1/p)|b-1|_p$ , so

$$|b^p - 1|_p = \frac{1}{p}|b - 1|_p.$$

**Theorem B.2.** Let p be prime. When p > 2 and  $B \in 1 + p M_n(\mathbf{Z}_p)$ 

$$||B^m - I_n||_p = |m|_p ||B - I_n||_p$$

for  $m \ge 1$ . When p = 2 and  $B \in 1 + 4 \operatorname{M}_n(\mathbb{Z}_2)$ ,  $||B^m - I_n||_2 = |m|_2||B - I_n||_2$  for  $m \ge 1$ .

When this was used in the proof of Theorem 3.1, we did not need the case p = 2.

*Proof.* It is left to the reader to check the proof of Theorem B.1 still works in the matrix setting, using  $||XY||_p \leq ||X||_p ||Y||_p$  with *p*-adic matrices instead of  $|xy|_p = |x|_p |y|_p$  with *p*-adic numbers and using  $||aX||_p = |a|_p ||X||$  for *p*-adic scalars *a* and matrices *X*. Even though matrix multiplication is not usually commutative, we can use the binomial theorem to expand  $(I_n + B)^m$  just as with  $(1 + b)^m$  since  $I_n$  and *B* commute.

Appendix C. The order of  $\operatorname{GL}_n(\mathbf{Z}/(p))$ 

To compute  $|\operatorname{GL}_n(\mathbf{Z}/(p))|$  in Lemma 3.4, view the columns of a matrix in  $\operatorname{M}_n(\mathbf{Z}/(p))$  as an ordered list of *n* elements of  $(\mathbf{Z}/(p))^n$ . The matrix is invertible if and only if the columns are a basis of  $(\mathbf{Z}/(p))^n$ . In an *n*-dimensional vector space, *n* vectors are a basis if and only if they are linearly independent, so count how many ordered lists of *n* vectors in  $(\mathbf{Z}/(p))^n$  are linearly independent. Every set of linearly independent vectors in  $(\mathbf{Z}/(p))^n$  can be extended to a basis, so we can build up elements of  $\operatorname{GL}_n(\mathbf{Z}/(p))$  column by column.

- (1) The first column can be anything in  $(\mathbf{Z}/(p))^n$  but the zero vector, since every nonzero vector can be extended to a basis. Therefore the first column has  $p^n 1$  choices.
- (2) Having picked the first column, the second column can be an arbitrary vector in  $(\mathbf{Z}/(p))^n$  that is linearly independent of the first column: such a choice makes the first two columns linearly independent and every pair of linearly independent vectors in  $(\mathbf{Z}/(p))^n$  can be extended to a basis (if  $n \ge 2$ ). Since the first column has p scalar multiples, the second column has  $p^n p$  choices.
- (3) The third column (if  $n \ge 3$ ) has to be chosen linearly independently of the first two, which span a 2-dimensional subspace of  $(\mathbf{Z}/(p))^n$ , so the third column has  $p^n - p^2$ choices and every such choice is allowed since a set of 3 linearly independent vectors in  $(\mathbf{Z}/(p))^n$  can be extended to a basis (if  $n \ge 3$ ).

The process continues, with the *j*th column being anything outside the span of the first j-1 columns, so the *j*th column has  $p^n - p^{j-1}$  choices. We are done when j = n, so  $|\operatorname{GL}_n(\mathbf{Z}/(p))| = (p^n - 1)(p^n - p) \cdots (p^n - p^{n-1}).$ 

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